

**GOVERNING BODY CERTIFICATION OF THE ANNUAL AUDIT**  
**FORM OF RESOLUTION**

WHEREAS, N.J.S.A. 40A:5-4 requires the governing body of every local unit to have made an annual audit of its books, accounts and financial transactions, and

WHEREAS, the Annual Report of Audit for the year 2023 has been filed by a Registered Municipal Accountant with the Clerk pursuant to N.J.S.A. 40A:5-6, and a copy has been received by each member of the governing body; and

WHEREAS, R.S. 52:27BB-34 authorizes the Local Finance Board of the State of New Jersey to prescribe reports pertaining to the local fiscal affairs; and

WHEREAS, the Local Finance Board has promulgated N.J.A.C. 5:30-6.5, a regulation requiring that the governing body of each municipality shall by resolution certify to the Local Finance Board of the State of New Jersey that all members of the governing body have reviewed, as a minimum, the sections of the annual audit entitled "Comments and Recommendations," and

WHEREAS, the Southeast Monmouth Municipal Utilities Authority and its governing Board of Trustees is required to comply with the requirements of N.J.S.A. 40A:5-4; and

WHEREAS, the members of the governing body have personally reviewed as a minimum the Annual Report of Audit, and specifically the sections of the Annual Audit entitled "Comments and Recommendations," as evidenced by the group affidavit form of the governing body attached hereto; and

WHEREAS, such resolution of certification shall be adopted by the Governing Body no later than forty-five days after the receipt of the annual audit, pursuant to N.J.A.C. 5:30-6.5; and

WHEREAS, all members of the governing body have received and have familiarized themselves with, at least, the minimum requirements of the Local Finance Board of the State of New Jersey, as stated aforesaid and have subscribed to the affidavit, as provided by the Local Finance Board, and

WHEREAS, failure to comply with the regulations of the Local Finance Board of the State of New Jersey may subject the members of the local governing body to the penalty provisions of R.S. 52:27BB-52, to wit:

R.S. 52:27BB-52: A local officer or member of a local governing body who, after a date fixed for compliance, fails or refuses to obey an order of the director (Director of Local Government Services), under the provisions of this Article, shall be guilty of a misdemeanor and, upon conviction, may be fined not more than one thousand dollars (\$1,000.00) or imprisoned for not more than one year, or both, in addition shall forfeit his office.

NOW, THEREFORE BE IT RESOLVED, that the Southeast Monmouth Municipal Utilities Authority hereby states that it has complied with N.J.A.C. 5:30-6.5 and does hereby submit a certified copy of this resolution and the required affidavit to said Board to show evidence of said compliance.

I HEREBY CERTIFY THAT THIS IS A TRUE COPY OF THE RESOLUTION PASSED AT THE MEETING HELD ON 8/8/2024.

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Stephen Mayer  
Chief Financial Officer

**CERTIFICATION OF GOVERNING BODY OF THE ANNUAL AUDIT**  
**GROUP AFFIDAVIT FORM**  
NO PHOTO COPIES OF SIGNATURES

STATE OF NEW JERSEY

COUNTY OF *Southeast Monmouth Municipal Utilities Authority*

We, members of the governing body of the *Southeast Monmouth Municipal Utilities Authority* of, in the County of *Monmouth*, being duly sworn according to law, upon our oath depose and say:

1. We are duly elected appointed members of the *Southeast Monmouth Municipal Utilities Authority* in the county of *Monmouth*;
2. In the performance of our duties, and pursuant to N.J.A.C. 5:30-6.5, we have familiarized ourselves with the contents of the Annual Municipal Audit filed with the Clerk pursuant to N.J.S.A. 40A:5-6 for the year 2023;
3. We certify that we have personally reviewed and are familiar with, as a minimum, the sections of the Annual Report of Audit entitled "Comments and Recommendations."

(L.S.)

\_\_\_\_\_  
W. Bryan Dempsey

(L.S.)

\_\_\_\_\_  
Joseph May

(L.S.)

\_\_\_\_\_  
Jeffrey Bertrand

(L.S.)

\_\_\_\_\_  
Thomas Nolan

(L.S.)

\_\_\_\_\_  
Michael McArthur

Sworn to subscribed before me this  
\_\_\_\_\_ day of \_\_\_\_\_

Notary Public of New Jersey

\_\_\_\_\_  
Stephen Mayer  
Chief Financial Officer

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The Municipal Clerk (or Clerk of the Board of Chose Freeholders as the case may be) shall set forth the reason for the absence of signature of any members of the governing body.

**IMPORTANT:** This certificate must be sent to the Bureau of Financial Regulation and Assistance, Division of Local Government Services, P.O. Box 803, Trenton, New Jersey 08625.